



# Quasar Capital Investment Advisors

505, Rotunda Towers, BSE Building, Bombay Samachar Marg, Mumbai – 01

Email – [ankurshah1989@gmail.com](mailto:ankurshah1989@gmail.com)

SEBI Registered Investment Adviser – **INA000019813**

**IA Name:** Ankur Vipul Shah (Proprietor: Quasar Capital Investment advisors)

## Data Privacy Policy

This policy explains what information we collect, why we collect it, how we use it and how we keep it secure, in line with SEBI Regulations, PMLA requirements, and reasonable data-protection practices.

### 1. Type of Information Collected

As an Individual RIA offering only direct equity advisory, we collect only the minimum information required for regulatory compliance and suitability assessment.

We collect:

- **Basic identification details:** Name, date of birth, address, contact number, email ID.
- **KYC documents:** Client Profile Form, PAN, proof of identity, proof of address, photo.
- **Risk profile information:** Risk appetite, investment horizon, experience in equities.
- **Financial suitability information:** Basic income range & financial capacity—not a full net-worth statement.
- **Transactional records with us:** Client agreements, communication trail, and advice issued.

We do NOT collect:

- Full wealth statement
- Details of assets held outside equity
- Bank balances
- Insurance, MF portfolios, real estate data
- Sensitive personal information not required by regulations

This aligns with the limited scope of services we provide.

## **2. How Your Data Is Used**

Your information is used only for:

- Verifying your identity (KYC requirement)
- Determining suitability of equity advice
- Maintaining regulatory records
- Fulfilling SEBI and PMLA obligations

We never use your information for marketing, cross-selling, or sharing with third parties for commercial purposes.

## **3. How Your Data Is Stored & Protected**

We maintain reasonable safeguards to protect your data:

- Secure digital storage with restricted access
- Strict access controls (only the RIA accesses client data)
- We collect only the information/documents required for KYC compliance, namely Proof of Identity, Proof of Address, and basic client details. We do not request or store any sensitive data such as bank login credentials/statements, transaction passwords, demat account login details, or any other information that could compromise the client's financial assets.

## **4. When We May Share Your Data**

Your data is never shared, except:

- When required by law, SEBI, FIU-IND, or other regulatory agencies
- When mandated for compliance or audits

We do not sell, trade, or disclose your information to any external entity.

## **5. Contact for Privacy Concerns**

For any data privacy questions, corrections, or concerns, please contact:

Name: Ankur Vipul Shah

Email: [ankurshah1989@gmail.com](mailto:ankurshah1989@gmail.com)

Address: 505, Rotunda Towers, BSE Building, Bombay Samachar Marg, Mumbai – 01